IPW

FEE TRANSMIFTERL
FY 2005

		A*
	Complete if Known	
Application Serial Number	10/788,741	
Filing Date	February 27, 2004	
First Named Inventor	Lee	
Group Art Unit	2813	
Examiner Name	Craig Thompson	
Attorney Docket No.	ASC-012DV	

METHOD TO THE METHOD THE METHOD TO THE METHOD TO THE METHOD TO THE METHOD TO THE METHOD THE MET		FEE CALCULATION (continued)			
1. Payment Enclosed:	3. ADDITIONAL FEES				
	Large	Small			
	Entity	Entity			
2. The Commissioner is hereby authorized to credit	Fee	Fee	Fee Description	Fee Paid	
or charge any fee indicated below for this submission	(\$)	(\$)			
to Deposit Account No. 07-1700.					
Required Fees (copy of this sheet enclosed).	130	65	Surcharge - late filing fee or oath		
Additional fee required under 37 CFR 1.16 and 1.17.	50	25	Surcharge - late provisional filing fee or cover		
M • • • • • • • • • • • • • • • • • • •	120	130	sheet Non-English specification		
Overpayment Credit.	130	2,520	Request for ex parte reexamination		
3. Applicant claims small entity status.	2,520		Extension for reply within first month		
FEE CALCULATION	120	60 225	Extension for reply within second month		
1. FILING/SEARCH/EXAM/SIZE FEES	450		• •		
Large Entity	1020	510	Extension for reply within third month		
Fee (\$) Fee Description Fee Paid	1590	795	Extension for reply within fourth month Extension for reply within fifth month		
200 16/16-51	2160	1080			
300 Utility filing fee	500 500	250 250	Notice of Appeal Filing a brief in support of an appeal	<b> </b>	
500 Utility search fee 200 Utility exam fee	1000	500	Request for oral hearing		
250 Utility exam fee  250 Utility size fee (each add') 50 pgs. over 100)	400	400	Petitions to the Commissioner (Gp. I)		
200 Design filing fee	200	200	Petitions to the Commissioner (Gp. II)		
100 Design search fee	130	130	Petitions to the Commissioner (Gp. III)		
130 Design exam fee	180	180	Submission of Information Disclosure		
250 Design size fee (each add'l 50 pgs. over 100)	1		Statement		
	790	395	Filing a submission after final		
			rejection (37 CFR 1.129(a))		
Number Number Rate Amount	790	395	For each additional invention to be		
Filed Extra			examined (37 CFR 1.129(b))		
Total Claims $-20 = x $ 50.00 =$	100	100	Certificate of Correction for		
	120		applicant's error	<u> </u>	
Independent	130	65	Submission of Terminal Disclaimer		
Claims $-3 = x $200.00 =$	Other fee (S	• • • •			
☐ Multiple Dependent Claim(s), if any \$360.00 =	Other fee (S	specify)		L	
TOTAL:					
SMALL ENTITY DISCOUNT:			Cy.		
SUBTOTAL (1) (\$) 0.00	1				
2. AMENDMENT CLAIM FEES	]		_		
Claims Highest No. Present Rate Fee Paid			SUBTOTAL (3)	(\$) 0.00	
Remaining Previously Extra					
After Amend. Paid For			_	İ	
Total 34 $-24 = 10 \times \$50.00 = 500.00$			SUBTOTAL (1)	0.00	
Indep. 2 $-1 = 0$ $\times $200.00 = 0.00$	SUBTOTAL (1) 500.00 SUBTOTAL (2) 500.00				
First Presentation of Multiple Dep. + \$360.00=		SUBTOTAL (3) 0.00			
Claim			``_		
TOTAL: (\$)500.00			_		
SMALL ENTITY DISCOUNT: (\$)					
SUBTOTAL (2) (\$)500.00		TOTAL (\$) 500.00			
CORRESPONDENCE ADDRESS	SIGNATURE BLOCK				
Direct all correspondence to:			Respectfully submitted,		
Patent Administrator	not low				
Goodwin Procter LLP	Date: March 22, 2005				
Exchange Place	Reg. No.: 4		Natasha C. Us		
Boston, MA 02109	Tel. No.: (				
Tel. No.: (617) 570-1000	Fax No.: (617) 523-1231 Goodwin Procter LLP				
Fax No.: (617) 523-1231	Exchange Place				
Customer No. 051414			Boston, MA 02109		



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Lee et al.

SERIAL NO.:

10/788,741

**GROUP NO.:** 

2813

FILING DATE:

February 27, 2004

**EXAMINER:** 

Craig Thompson

TITLE:

STRUCTURES WITH PLANAR STRAINED LAYERS

## CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 22<sup>nd</sup> day of March, 2005.

Wendy Martin

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### Submitted herewith are:

- 1. Transmittal Form (1 pg.);
- 2. Fee Transmittal (1 pg.);
- 3. Second Preliminary Amendment (7 pgs.);
- 4. Check in the amount of \$500.00; and
- 5. Return receipt postcard

VER 9/00



Application Serial Number	10/788,741
Filing Date	February 27, 2004
First Named Inventor	Lee
Group Art Unit	2813
Examiner Name	Craig Thompson
Attorney Docket No.	ASC-012DV
Patent No.	Not applicable
Issue Date	Not applicable

	TRANSMITTAL		Group Art U	Group Art Unit		2813	
		Examiner N	Name		Craig Thompson		
	FORM		Attorney Do	Docket No.		ASC-012DV	
			Patent No.		Not	applicable	
			Issue Date		Not	applicable	
		EN	CLOSURES (c	heck all that apply)			
$\boxtimes$	Fee Transmittal Form		Copy of Notic	e to File Missing		Notice of Appeal to Board	
	☐ Check Attached		Parts of Applie	cation		of Patent Appeals and Interferences	
	Copy of Fee Transmittal Form		Formal Drawin	ng(s)		Appeal Brief (in triplicate)	
$\boxtimes$	Amendment/Response		Request For C Examination (			Status Inquiry	
	Second Preliminary Amendment		Transmittal	KCL)			
	After Final Affidavits/declaration(s)		Power of Attor	I =	$\boxtimes$	Return Receipt Postcard	
Letter to Official Draftsperson including Drawings [Total Sheets]	Power of Attorney (Revocation of Prior				Certificate of First Class Mailing under 37 C.F.R. 1.8		
			Terminal Disc	laimer		Certificate of Facsimile Transmission under 37 C.F.R. 1.8	
	Petition for Extension of Time		Executed Declaration and Power of Attorney for Utility or Design Patent Application			Additional Enclosure(s) (please identify below)	
	Statement		Small Entity S	tatement			
[	Form PTO-1449 Copies of IDS Citations		CD(s) for large table or computer program				
	Certified Copy of Priority Document(s)		Amendment A	Amendment After Allowance			
			Request for Certificate of Correction Certificate of Correction (in				
Ш	Sequence Listing submission  Paper Copy/CD						
	☐ Computer Readable Copy☐ Statement verifying		duplicate)				
	identity of above						
CORRESPONDENCE ADDRESS			SIGNATURE BLO	OCK_			
Dire		ministrato				Respectfully submitted,	
Goodwin Procter LLP Exchange Place Boston, MA 02109 Tel. No.: (617) 570-1000 Fax No.: (617) 523-1231 Customer No. 051414		1000 1231	Date: March 22, 2005 Reg. No. 44,381 Tel. No.: (617) 570-1806 Fax No.: (617) 523-1231		Natasha C. Us Attorney for Applicant(s) Goodwin Procter LLP Exchange Place Boston, MA 02109		

VER 12/00

PATENT

Attorney Docket No.: ASC-012DV

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Craig Thompson

TITLE:

STRUCTURES WITH PLANAR STRAINED LAYERS

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# SECOND PRELIMINARY AMENDMENT

Sir:

Please enter the following preliminary amendment before beginning examination of the above-identified patent application.

Please amend the above-identified patent application as follows, without prejudice:

Amendments to the Claims are reflected in the listing of claims that begin on page 2 of this paper.

Remarks begin on page 7 of this paper.

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